

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 14

Complete if Known

Application Number	10/705,989
Filing Date	November 12, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 65989

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
SL		2,278,926		04/07/1942	Hartwell	
		2,826,193		03/11/1958	Vineberg	
		3,464,322		09/02/1969	Pequignot	
		3,513,836		05/26/1970	Sausse	
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		Office ³	Number ⁴	Kind ⁵ (if known)				
SL		FR	2 527 435		12/02/1983	Serreaus		
		SU	1009457		04/07/1983	Kulik		
		GB	2 115 287 A		09/07/1983	Sharma		
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		GB	2 209 678 A		05/24/1989	Evans		
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		JP	1-145066		06/07/1989	Unknown		
		JP	1-271829		10/30/1989	Kogyo		
SL		EPO	0 370 931 A1		05/30/1990	Perotin		

Examiner
Signature

Gilbert

Date
Considered

12/27/05

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known Application Number: 10/705,989 Filing Date: November 12, 2003 First Named Inventor: Lilip Lau Art Unit: 3736 Examiner Name: Unassigned Attorney Docket Number: PARCR 65989				
Sheet	2	of	14					
U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number	Kind Code ² (if known)					
Lg		4,628,937		12/16/1986	Hess et al.			
		4,630,597		12/23/1986	Kantrowitz et al.			
		4,665,906		05/19/1987	Jervis			
		4,690,134		09/01/1987	Snyders			
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		4,750,619		06/14/1988	Cohen et al.			
		4,821,723		04/18/1989	Baker, Jr. et al.			
		4,827,932		05/09/1989	Ideker et al.			
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		4,838,288		06/13/1989	Wright et al.			
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		4,936,857		06/26/1990	Kulik			
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Lg		5,067,957		11/26/1991	Jervis			
		5,087,243		02/11/1992	Avitall			
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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number ⁴	Kind ⁵ (if known)				
Lg		FR	2 645 739		10/19/1990	Mentzer et al.		
		PCT	WO 91/19465		12/26/1991	Frater		
		SU	1734767 A1		05/23/1992	Popov et al.		
		EP	0 280 564 B1		06/16/1993	Intermedics, Inc.		
		DE	38 31 540 C2		06/24/1993	Evans		
		PCT	WO 95/06447		03/09/1995	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/1996	Northrup		
		DE	295 17 393 U1		03/14/1996	Hohmann et al.		
Lg		EP	0 583 012 B1		07/10/1996	Heilman et al.		
Examiner Signature	Gilbert					Date Considered	12/27/05	

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		Art Unit	3736		
		Examiner Name	Unassigned		
Sheet	3	of	14	Attorney Docket Number	PARCR 65989

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
JS		5,098,369		03/24/1992	Heilman et al.	
		5,106,386		04/21/1992	Isner et al.	
		5,119,804		06/09/1992	Anstadt	
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		5,150,706		09/29/1992	Cox et al.	
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		5,186,711		02/16/1993	Epstein	
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		5,385,528		01/31/1995	Wilk	

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		Office ³	Number ⁴	Kind ⁵ (if known)				
JS		PCT	WO 96/40356		12/19/1996	EP Technologies, Inc.		
		PCT	WO 97/20505		06/12/1997	Loma Linda University		
		PCT	WO 97/24101		07/10/1997	Melvin		
		EP	0 791 330 A3		08/27/1997	Cardiothoracic Systems		
		PCT	WO 98/03213		01/29/1998	Heartport, Inc.		
		PCT	WO 98/14136		04/09/1998	Acorn Medical, Inc.		
		PCT	WO 98/26738		06/25/1998	Hearten Medical, Inc.		
		PCT	WO 98/29041		07/09/1998	Myocor, Inc.		
		PCT	WO 98/58598		12/30/1998	Haindl		
		PCT	WO 99/11201		03/11/1999	Endocore, Inc.		

Examiner Signature	Gilbert	Date Considered	12/27/05
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		First Named Inventor	Lilip Lau		
		Art Unit	3736		
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Sheet	4	of	14	Attorney Docket Number	PARCR 65989

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		Number	Kind Code ² (if known)					
JA		5,405,360		04/11/1995	Tovey			
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		5,456,711		07/18/1995	Hudson			
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		Office ³	Number ⁴	Kind ⁵ (if known)				
JA		EP	0 919 193 A1		06/02/1999	Ethicon Endo-Surgery		
		PCT	WO 99/30647		06/24/1999	Myocor, Inc.		
		PCT	WO 99/44534		09/10/1999	Melvin		
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		PCT	WO 99/53977		10/28/1999	SciMed Life Systems		
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		PCT	WO 00/06026		02/10/2000	Myocor, Inc.		
		PCT	WO 00/06027		02/10/2000	Mortier et al.		
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AP		5,713,954		02/03/1998	Rosenberg et al.	
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		5,984,857		11/16/1999	Buck et al.	
		5,990,378		11/23/1999	Ellis	

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AP		PCT	WO 00/06028		02/10/2000	Mortier, et al.		
		PCT	WO 00/13722		03/16/2000	SciMed Life Systems		
		PCT	WO 00/16700		03/30/2000	Myocor, Inc.		
		PCT	WO 00/18320		04/06/2000	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/2000	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/2000	Acorn Cardiovascular		
		PCT	WO 00/42919		07/25/2000	Buckberg et al.		
		PCT	WO 00/45735		08/10/2000	Acorn Cardiovascular		
		PCT	WO 00/48795		08/24/2000	Richebourg		

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		6,015,378		01/18/2000	Borst et al.	
		6,024,096		02/15/2000	Buckberg	
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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 00/62727		10/26/2000	Hearten Medical		
		PCT	WO 00/74769		12/14/2000	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/2001	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/2001	Acorn Cardiovascular		
		PCT	WO 01/50981		07/19/2001	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/2001	Paracor Surgical		
		PCT	WO 01/85061		11/15/2001	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/2001	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/2001	Acorn Cardiovascular		

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Substitute for form 1449A/PTO		Complete if Known	
		Application Number	10/705,989
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	November 12, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
(use as many sheets as necessary)		Attorney Docket Number	PARCR 65989
Sheet	7	of	14

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
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JS		PCT	WO 01/95831		12/20/2001	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/2001	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/2002	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/2002	Converge Medical		
		PCT	WO 03/026483		04/03/2003	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/2003	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/2003	The Foundry, Inc.		
		PCT	WO/0036995		06/29/2000	Corset Inc.		

Examiner Signature	G:but	Date Considered	12/27/05
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	November 12, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
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		Number	Kind Code ² (if known)			
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		6,390,976		05/21/2002	Spence et al.	
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Examiner Signature	Gilbert	Date Considered	12/27/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/705,989
		Filing Date	November 12, 2003
		First Named Inventor	Lilip Lau
		Group Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 65989
Sheet	10	of	14

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
LS		Bencini, Adriano, M.D., <i>The "Pneumomassage" of the Heart</i> , <u>Surgery</u> , Vol. 39, No. 3, March 1956	
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
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		First Named Inventor	Lilip Lau
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Sheet	11	of	14

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		Levin, Howard R., M.D., et al., <i>Reversal of Chronic Ventricular Dilation in Patients With End-Stage Cardiomyopathy by Prolonged Mechanical Unloading</i> , <u>Circulation</u> , Vol. 91, No. 11, pp. 2717-2720, 1995	
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				First Named Inventor	Lilip Lau
				Group Art Unit	3736
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
JS		Power, J.M., et al., <i>Passive Ventricular Constraint Amends the Course of Heart Failure: A Study in an Ovine Model of Dilated Cardiomyopathy</i> , <u>Cardiovascular Research</u> , Vol. 44, pp. 549-555, 1999			
		Frazier, O.H., M.D., et al., <i>Left Ventricular Assist System as a Bridge to Myocardial Recovery</i> , <u>Annals of Thoracic Surgery</u> , Vol. 68, pp. 734-741, 1999			
		Melvin, David B., <i>Ventricular Radius Reduction Without Resection: A Computational Analysis</i> , <u>ASAIO Journal</u> , pp. 160-165, 1999			
		ABSTRACTS – Heart Failure , JACC February 1999			
		Raman, Jai S., FRACS, et al., <i>Ventricular Containment as an Adjunctive Procedure in Ischemic Cardiomyopathy: Early Results</i> , <u>Annals of Thoracic Surgery</u> , Vol. 70, pp. 1124-1126, January 2000			
		McCarthy, Patrick M., et al., <i>Device Based Left Ventricular Shape Change Immediately Reduces Left Ventricular Volume and Increases Ejection Fraction in a Pacing Induced Cardiomyopathy Model in Dogs</i> , JACC, Feb. 2000			
		Chaudhry, Pervaiz A., M.D., et al., <i>Passive Epicardial Containment Prevents Ventricular Remodeling in Heart Failure</i> , <u>Annals of Thoracic Surgeons</u> , Vol. 70, pp. 1275-1280, 2000			
		Acom Cardiovascular, Inc., <u>CSD Specifications Acorn Cardiac Support Device</u> , 2000			
		Heart "jacket" could help stop heart failure progression, <u>Clinicia</u> , No. 916, July 2000			
		Acorn Cardiovascular, Inc., <u>CorCap™ Cardiac Support Device</u> Pamphlet, June 2001			
		Medtronic's InSync Cardiac Resynchronization Therapy Device Approved by FDA, (Press Release) August 28, 2001			
		Oz, Mehmet C., M.D., <i>Passive Ventricular Constraint for the Treatment of Congestive Heart Failure</i> , <u>Annals of Thoracic Surgery</u> , Vol. 71, pp. 5185-5187, 2001			
		Abstract Supplement, <u>European Heart Journal</u> , Vol. 22, September 2001			
JS		Gorman, J., <i>Self-Sutures: New Material Knots Up On Its Own</i> , <u>Science News</u> , Vol. 161, p. 262, April 27, 2002			
		Teckell-Taylor, Leah A., et al., <i>Passive Ventricular Restraint With Nitinol Mesh Attenuates Remodeling Following Acute Myocardial Infarction</i> , Abstract, American College of Cardiology (Undated)			
JS		Mann, Douglas L., M.D., <i>Basic Mechanisms of Remodeling and Reverse Remodeling</i> , presented at 6 th Annual Scientific Meeting of the Heart Failure Society of America, September 24, 2002			
		Bocchi, Edimar a., M.D., <i>Arrhythmias and Sudden Death After Dynamic Cardiomyoplasty</i> , <u>Circulation</u> , Vol. 90, No. 5, Part 2, pp. II-107 thru II-111, November 1994			
		Chachques, Juan C., M.D., <i>Study of Muscular and Ventricular Function in Dynamic Cardiomyoplasty: A Ten-Year Follow-Up</i> , <u>The Journal of Heart and Lung Transplantation</u> , Vol. 16, No. 8, pp. 854-868, August 1997			
		Dullum, Mercedes K.C., M.D., et al., <i>Less Invasive Surgical Management of Heart Failure by Cardiac Support Device Implantation on the Beating Heart</i> , The Heart Surgery Forum, #2001-1818, pp. 361-363, January 4-7, 2001			
		Macris, Michael P. M.D., et al., <i>Minimally Invasive Access of the Normal Pericardium: Initial Clinical Experience with a Novel Device</i> , <u>Clinical Cardiology</u> , Vol. 22 (Suppl. I), pp. I-36 thru I-39, 1999			
JS		Thakur, Ranjan K., M.D., et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty: Role of Combined ICD Implantation</i> , <u>Journal of Cardiac Surgery</u> , Vol. 10, pp. 295-297, 1995			

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Substitute for form 1449B/PTO				<div>Complete if Known</div> <div>Application Number10/705,989</div> <div>Filing DateNovember 12, 2003</div> <div>First Named InventorLilip Lau</div> <div>Group Art Unit3736</div> <div>Examiner NameUnassigned</div> <div>Attorney Docket NumberPARCR 65989</div>	
<div>INFORMATION DISCLOSURE</div> <div>STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>					
Sheet	14	of	14		

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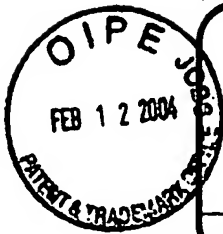
Gilbert 12/27/2005

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/705,989
				Filing Date	November 12, 2003
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	PARCR 65989

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) ²			
[Signature]		US- 6,165,122		12/26/00	Alfemess	
		US- 6,206,820		03/27/01	Kazi et al.	
		US- 6,370,429		04/09/02	Alfemess et al.	
		US- 6,375,608		04/23/02	Alfemess	
		US- 6,482,146		11/19/02	Alfemess et al.	
		US- 6,537,203		03/25/03	Alfemess et al.	
		US- 6,544,168		04/08/03	Alfemess	
		US- 6,547,821		04/15/03	Taylor et al.	
		US- 6,564,094		05/13/03	Alfemess et al.	
		US- 6,567,699		05/20/03	Alfemess et al.	
		US- 6,569,082		05/27/03	Chin	
		US- 6,572,533		06/03/03	Shapland et al.	
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		US- 6,595,912		07/22/03	Lau et al.	
		US- 6,602,184		08/05/03	Lau et al.	
	[Signature]		US- 6,612,979		09/02/03	Lau et al.

FOREIGN PATENT DOCUMENTS								
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 02/19917 A1		03/14/02	Whayne et al.		
		PCT	WO 02/059007		08/01/02	Lown et al.		

Examiner Signature	Gilbert	Date Considered	12/27/05
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				Filing Date	November 12, 2003
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				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	PARCR 65989

U.S. PATENT DOCUMENTS						
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		Number	Kind Code (if known)			
[Signature]		US- 6,663,558		09/10/01	Lau et al.	
		US- 6,673,009		01/06/04	Vanden Hoek et al.	
		US- 6,682,474		01/27/04	Lau et al.	
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		US- 2001/0047122		11/29/01	Vanden Hoek et al.	
		US- 2002/0082647		06/27/02	Alfermess et al.	
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		US- 2002/0103511		08/01/02	Alfermess et al.	
		US- 2002/0151950		10/17/02	Okuzumi	
[Signature]		US- 2003/0199733		10/23/03	Shapland et.al.	
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		Office ³	Number ⁴	Kind ⁵ (if known)				

Examiner Signature	<i>Gilbert</i>	Date Considered	<i>12/27/05</i>
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